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ASSISTANT COMMISSIONER FOR PATENTS
PATENT APPLICATION
Washington, D.C. 20231

A
CASE DOCKET NO. DR920010077
Date: January 16, 2002
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Date of Deposit: February 16, 2002

Submitted herewith for filing is the Patent Application of:

Inventors: Lawrence D. Bergman, Yuan-Chi Chang, Chung-Sheng Li and John R. Smith

IGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

For: METHOD AND APPARATUS FOR GENERATING PROGRESSIVE QUERIES
AND MODELS FOR DECISION SUPPORT

Enclosed are:

- ☒ Four (4) Sheets of Formal Drawings.
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 with attached assignment recordation form cover sheet.
- ☒ A signed Declaration and Power of Attorney is attached to the application.
- ☐ Associate Power of Attorney.
- ☐ Certified copy of EPO patent application
- ☒ Information Disclosure Statement with form PTO-1449 and references attached.

The filing fee has been calculated as shown below:

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☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By

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10/047863
01/16/02

APPLICATION OF: Lawrence D. Bergman et al.

DOCKET NO. YOR920010077

SERIAL NO.

EXAMINER:

FILED: Herewith

GROUP ART:

FOR: Method and Apparatus for Generating
Progressive Queries and Models for Decision Support

DATED: January 16, 2002

Assistant Commissioner for Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label Number EV 049584700 US
Date of Deposit January 16, 2002

I hereby certify that the attached paper or fee

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Transmittal Sheet (2 copies)
Application with four (4) formal drawings attached
Declaration and Power of Attorney
Recordation Sheet with attached Assignment
Information Disclosure Statement with attached
PTO1449 form and references

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Emily S. Forbes

(Name)

Emily S. Forbes

Signature

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